



#2

Sheet 1 of 7

Form PTO-1449 Modified		Docket No. MSFT-0310/ 164266.1	Serial No. 09/892,371 RECEIVED
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marcus Peinado	MAR 27 2002 Technology Center 2100
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 27, 2001	Group 2182

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

74	AA	"Aladdin Knowledge Systems Partners with Rights Exchange, Inc. to Develop a Comprehensive Solution for Electronic Software Distribution," August 3, 1988, 5 pages
74	AB	Amdur, D., "Metering Online Copyright," January 16, 1996, 2 pages
74	AC	Amdur, D. (ed.), "InterTrust Challenges IBM Digital Content Metering," <i>Report On Electronic Commerce</i> , July 23, 1996, 3(15), 1-2 and 16-18
74	AD	Armati, D., "Tools and standards for protection, control and presentation of data," April 3, 1996, 17 pages
74	AE	Benjamin, R. et al., "Electronic markets and virtual value chains on the information superhighway," <i>Sloan Management Rev.</i> , Winter, 1995, 62-72
74	AF	Cassidy, "A Web developers guide to content encapsulation technology," April, 1997, 5 pages
74	AG	Clark, T., "Software Secures Digital Content on Web," <i>Interactive Week</i> , September 25, 1995, 1 page
74	AH	Cox, B., "Superdistribution," <i>Idees Fortes</i> , September, 1994, 2 pages
74	AI	Cox, B., "What if There Is A Silver Bullet," <i>J. Object Oriented Programm.</i> , June, 1992, 8-9 and 76
74	AJ	Griswold, G.N., "A Method for Protecting Copyright on Networks," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(1), 169-178
74	AK	Hauser, R.C., "Does licensing require new access control techniques?" August, 1993, 9 pages
74	AL	Hudgins-Bonafield, C. "Selling Knowledge on the Net," <i>Network Computing</i> , June 1, 1995, 102-109
74	AM	"IBM spearheading intellectual property protection technology for information on the Internet," May, 1996, 3 pages
EXAMINER	Thomas K.	DATE CONSIDERED



#2

Sheet 2 of 7

Form PTO-1449 Modified		Docket No. MSFT-0310/ 164266.1	Serial No. 09/892,371
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marcus Peinado	RECEIVED MAR 27 2002 Technology Center 2100
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 27, 2001	Group 2182

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

TH	AN	"Technological Solutions Rise to Complement Law's Small Stick Guarding Electronic Works," <i>Information Law Alert</i> , June 16, 1995, 3-4 and 7
TH	AO	Kaplan, M.A., "IBM Cryptolopes_, Super-Distribution and Digital Rights Management," December, 1996, 7 pages
TH	AP	Kent, S.T., "Protecting externally supplied software in small computers," September, 1980, 1-42 and 250-252
TH	AQ	Kohl, U. et al., "Safeguarding Digital Library Contents and Users; Protecting Documents Rather Than Channels," <i>D-Lib Magazine</i> , September, 1997, 9 pages
TH	AR	Linn, R.J., "Copyright and Information Services in the Context of the National Research and Education Network," <i>IMA Intell. Property Project Proceedings</i> , January, 1994, 1(I), 1 and 10-20
TH	AS	McNab, L., "Super-distribution works better in practical applications," March 2, 1998, 2 pages
TH	AT	Moeller, M., "NetTrust lets Cyberspace Merchants Take Account," <i>PC Week</i> , November 20, 1995, 12(48), 1 page
TH	AU	Moeller, M., "IBM takes charge of E-commerce; Plans client, server apps based on SET," April, 1996, 4 pages
TH	AV	Pemberton, J., "An ONLINE Interview with Jeff Crigler at IBM InfoMarket," July, 1996, 6 pages
TH	AW	"LicensIt: kinder, gentler copyright? Copyright management system links content, authorship information," <i>Seybold Report on Desktop Publishing</i> , 1996, 10(11), 2 pages
TH	AX	Sibert, O. et al., "The DigiBox: A Self-protecting Container for Information Commerce," <i>First USENIX Workshop on Electronic Commerce</i> , July 11-12, 1995, 171-183
TH	AY	Sibert, O. et al., "Securing the Content, Not the Wire, for Information Commerce," July, 1995, 1-11
EXAMINER	Thomas Ko	DATE CONSIDERED 2/23/05



#2

Sheet 3 of 7

Form PTO-1449 Modified		Docket No. MSFT-0310/ 164266.1	Serial No. 09/892,371
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marcus Peinado	RECEIVED MAR 27 2002 Group Technology Center 2100 2182
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 27, 2001	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

TM	AZ	Smith, M.G. et al., "A New Set of Rules For Information Commerce," <i>Electronic Commerce</i> , November 6, 1995, 34-35
TM	BA	Stefik, M., "Trusted Systems," March, 1997, 8 pages
TM	BB	Stefik, M., "Shifting the Possible: How Trusted Systems and Digital Property Rights Challenge Us to Rethink Digital Publishing," <i>Technical Perspective</i> , 1997, 137-159
TM	BC	Tarter, J. (ed.), "The Superdistribution Model," <i>Soft Trends</i> , November 15, 1996, 3(6), 1-6
TM	BD	"Rights Management in the Digital Age: Trading in Bits, Not Atoms," Spring, 1997, 4, 3 pages
TM	BE	Weber, R., "Digital Right Management Technology," October, 1995, 35 pages
TM	BF	White, S.R. et al., "ABYSS: An Architecture for Software Protection," <i>IEEE Trans. On Software Engineering</i> , June, 1990, 16(6), 619-629
TM	BG	White, S.R. et al., "ABYSS: A trusted architecture for software protection," <i>IEEE Symposium on Security and Privacy</i> , April 27-29, 1987, 38-51
TM	BH	"Boxing Up Bytes," 2 pages
TM	BI	Ramanujapuram, A. et al., "Digital Content & Intellectual Property Rights: A specification language and tools for rights management," December, 1998, 20-23 and 26
TM	BJ	Bird, R., et al., "The kryptoKnight family of light-weight protocols for authentication and key distribution," <i>IEEE</i> , 1995, 31-41
TM	BK	Boyl, J.P., et al., "The ESPRIT Project CAFÉ High security digital payment systems," <i>ESORICS, LNCS 875</i> , 1994, 217-230
TM	BL	Ishii, S., et al., "2,048-bit public-key encryption processor," <i>NTT Human Interface Labs.</i> , 1998, 259-267 (Abstract on page 261)

EXAMINER

Thomas K.

DATE CONSIDERED

2/23/05



\$2

Sheet 4 of 7

Form PTO-1449 Modified		Docket No. MSFT-0310/ 164266.1	Serial No. 09/892,371
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marcus Peinado	
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 27, 2001	Group 2182
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
TM	BM	Veron, P., "Improved identification schemes based on error-correcting codes," AAECC, 1997, 57-69	
EXAMINER	<i>Thomas Ho</i>		DATE CONSIDERED <u>2/23/05</u>

RECEIVED
MAR 27 2002
Technology Center 2100



#2

Sheet 5 of 7

Form PTO-1449 Modified		Docket No. MSFT-0310/ 164266.1	Serial No. 09/892,371
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marcus Peinado	RECEIVED MAR 27 2002
U. S. Department of Commerce Patent and Trademark Office		Filing Date June 27, 2001	Technology Center 2100 2182

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
TM	BN	3,718,906	02/27/73	Lightner	340	147 R
TM	BO	4,323,921	04/06/82	Guillou	358	114
TM	BP	4,528,643	07/09/85	Freeny, Jr.	364	900
TM	BQ	4,658,093	04/14/87	Hellman	380	25
TM	BR	4,683,553	07/28/87	Mollier	380	4
TM	BS	4,827,508	05/02/89	Shear	380	4
TM	BT	4,916,738	04/10/90	Chandra et al.	380	25
TM	BU	4,953,209	08/28/90	Ryder, Sr. et al.	380	23
TM	BV	4,977,594	12/11/90	Shear	380	4
TM	BW	5,050,213	09/17/91	Shear	380	25

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO
TM	BX	EP 0 715 246 A1	06/05/96	EPO	X
TM	BY	EP 0 715 247 A1	06/05/96	EPO	X
TM	BZ	WO 93/01550	01/21/93	PCT	X
TM	CA	WO 96/13013	05/02/96	PCT	X

EXAMINER *Thomas Kr*

DATE CONSIDERED

2/23/03



#2
Sheet 6 of 7

Form PTO-1449 Modified		Docket No. MSFT-0310/ 164266.1	Serial No. 09/892,371
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marcus Peinado	RECEIVED MAR 27 2002
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 27, 2001	Group Technology Center 2100 2182

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
M	CB	5,103,392	04/07/92	Mori	395	725
M	CC	5,103,476	04/07/92	Waite et al.	380	4
M	CD	5,109,413	04/28/92	Comerford et al.	380	4
M	CE	5,117,457	05/26/92	Comerford et al.	380	3
M	CF	5,193,573	03/16/93	Chronister	137	315
M	CG	5,222,134	06/22/93	Waite et al.	380	4
M	CH	5,319,705	06/07/94	Halter et al.	380	4
M	CI	5,410,598	04/25/95	Shear	380	4
M	CJ	5,509,070	04/16/96	Schull	380	4
M	CK	5,629,980	05/13/97	Stefik et al.	380	4

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES NO
M	CL	WO 96/24092	08/08/96	PCT	X
M	CM	WO 96/27155	09/06/96	PCT	X
M	CN	WO 97/25798	07/17/97	PCT	X
M	CO	WO 97/43761	11/20/97	PCT	X
M	CP	WO 98/09209	03/05/98	PCT	X

EXAMINER *Marcus Peinado* DATE CONSIDERED *2/23/05*



#2
Sheet 7 of 7

Form PTO-1449 Modified		Docket No. MSFT-0310/ 164266.1	Serial No. 09/892,371
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant Marcus Peinado	
U.S. Department of Commerce Patent and Trademark Office		Filing Date June 27, 2001	Group MAR 27 2002 2182 Technology Center 2100

RECEIVED

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
TM	CQ	5,634,012	05/27/97	Stefik et al.	395	239
TM	CR	5,638,443	06/10/97	Stefik et al.	380	4
TM	CS	5,673,316	09/30/97	Auerbach et al.	380	4
TM	CT	5,710,887	01/20/98	Chelliah et al.	395	226
TM	CU	5,715,403	02/03/98	Stefik	395	244
TM	CV	5,765,152	06/09/98	Erickson	707	9
TM	CW	5,809,144	09/15/98	Sirbu et al.	380	25
TM	CX	5,845,281	12/01/98	Benson et al.	707	9
TM	CY	5,934,422	08/09/99	Steed	188	318
TM	CZ	5,982,891	11/09/99	Ginter, et al.	705	54
TM	DA	6,006,332	12/21/99	Rabne, et al.	713	201
TM	DB	6,112,181	08/29/00	Shear, et al.	705	1
TM	DC	09/645,887	Leung, et al.	08/25/00		

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation YES	NO
TM	DD	WO 98/10381	3/12/98	PCT		
TM	DE	WO 98/21679	05/22/98	PCT		
TM	DF	WO 98/24037	06/04/98	PCT		
TM	DG	WO 98/37481	08/27/98	PCT		

EXAMINER *Marcus Peinado*

DATE CONSIDERED *2/23/05*